

Please type a plus sign (+) inside this box [+]

PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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22278 U.S. PTO
10/660301
09/10/03

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. UTSD:1477

First Named Inventor or Application Identifier Brett P. Giroir

Title Macrophage Migration Inhibitory Factor as a Marker for Cardiovascular Risk

Express Mail Label No. EV324953089US

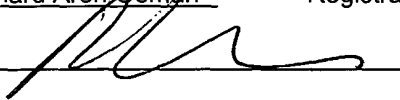
ADDRESS TO: Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

EV324953089US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. X *Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. X Applicant claims small entity status (see 37 CFR 1.27)
3. X Specification (Total Pages 10)
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claims
 - Abstract of the Disclosure
4. Drawings(s) (35 USC 113) (Total Sheets)
5. X Oath or Declaration (Total Pages 3)
 - a. X Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed)
 - i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

| | |
|---|--|
| 8. Nucleotide and/or Amino Acid Sequence Submission | |
| (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. <input type="checkbox"/> Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statement verifying identity of above copies d. <input type="checkbox"/> Request to use CRF from another application | |
| ACCOMPANYING APPLICATION PARTS | |
| 9. | <input checked="" type="checkbox"/> Assignment Papers (cover sheet & documents(s)) |
| 10. | <input type="checkbox"/> 37 CFR 3.73(b) Statement (where there is an assignee) <input type="checkbox"/> Power of Attorney |
| 11. | <input type="checkbox"/> English Translation Document (if applicable) |
| 12. | <input type="checkbox"/> a. Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> b. Copies of IDS Citations |
| 13. | <input type="checkbox"/> Preliminary Amendment |
| 14. | <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) |
| 15. | <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) |
| 16. | <input type="checkbox"/> Other: _____ |
| 17. Priority This application claims priority to prior application No: _____ Prior application information: Examiner _____ Group Art Unit _____ The entire disclosure of the prior application, from which an oath or declaration is supplied under 5b, is considered a part of the disclosure of the accompanying application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. | |
| 18. Correspondence Address <input checked="" type="checkbox"/> Customer Number or Bar Code Label <u>23379</u> (Insert Customer No. or Attach Bar Code Label here) or <input checked="" type="checkbox"/> Correspondence Address Below | |
| NAME <u>Richard Aron Osman</u> <u>SCIENCE & TECHNOLOGY LAW GROUP</u> | |
| ADDRESS <u>75 Denise Drive</u> | |
| CITY <u>Hillsborough</u> STATE <u>California</u> ZIP CODE <u>94010</u> | |
| Country <u>U.S.A.</u> TELEPHONE <u>(650) 343-4341</u> FAX <u>(650) 343-4342</u> | |
| Name: <u>Richard Aron Osman</u> Registration No: <u>36,627</u> | |
| Signature: <u></u> Date: <u>September 10, 2003</u> | |

13142 U.S. PTC
09/10/03

PTO/SB/17(09-00)

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FEE TRANSMITTAL EFFECTIVE JANUARY 1, 2003

Fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$) \$455.00

Complete if Known:

Application No. Not yet assigned

Filing Date Herewith

First Named Inventor Giroir et al.

Group Art Unit Not yet assigned

Examiner Name Not yet assigned

Attorney Docket No. UTSD:1477

METHOD OF PAYMENT (check one)

1. ☒ [X] The Commissioner is hereby authorized to charge indicated fees to:

☒ [X] Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 and credit any over payments to:

☒ [X] Applicant claims small entity status. See 37 CFR 1.27

Deposit Account Number 19-0750

Deposit Account Name Science & Technology Law Group

2. ☒ X Payment Enclosed

☒ X Check

☐ Money Order

☐ Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|----------|--------------|----------|------------------------------------|-------------------|
| Code | Fee (\$) | Code | Fee (\$) | | |
| 101 | 750 | 201 | 375 | Utility application filing fee | <u>375.</u> |
| 106 | 330 | 206 | 165 | Design application filing fee | <u> </u> |
| 107 | 520 | 207 | 260 | Plant filing fee | <u> </u> |
| 108 | 750 | 208 | 375 | Reissue filing fee | <u> </u> |
| 114 | 160 | 214 | 80 | Provisional application filing fee | <u> </u> |
| SUBTOTAL (1) | | | | | <u>\$ 375.00</u> |

2. CLAIMS

| | | | Extra | | Fee from below | | Fee Paid |
|---------------------------|-----------|--------|----------|---|-------------------|---|----------|
| Total Claims | <u>19</u> | - 20 = | <u>0</u> | X | <u> </u> | = | <u>0</u> |
| Independent Claims | <u>3</u> | - 3 = | <u>0</u> | X | <u> </u> | = | <u>0</u> |
| Multiple Dependent Claims | | | <u>0</u> | X | <u> </u> | = | <u>0</u> |

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|----------|--------------|----------|---|----------------|
| Code | Fee (\$) | Code | Fee (\$) | | |
| 103 | 18 | 203 | 9 | Claims in excess of twenty | <u>0</u> |
| 102 | 84 | 202 | 42 | Independent claims in excess of 3 | <u>0</u> |
| 104 | 280 | 204 | 140 | Multiple dependent claim | <u>0</u> |
| 109 | 84 | 209 | 42 | Reissue independent claims over original patent | <u>0</u> |
| 110 | 18 | 210 | 9 | Reissue claims in excess of 20 and over original patent | <u>0</u> |
| SUBTOTAL (2) | | | | | <u>\$ 0.00</u> |

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| <u>Large Entity</u> | | <u>Small Entity</u> | | <u>Fee Description</u> | <u>Fee Paid</u> |
|---------------------------|-----------------|---------------------|-----------------|--|-----------------|
| <u>Fee Code</u> | <u>Fee (\$)</u> | <u>Fee Code</u> | <u>Fee (\$)</u> | | |
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for response within first month | |
| 116 | 410 | 216 | 205 | Extension for response within second month | |
| 117 | 930 | 217 | 465 | Extension for response within third month | |
| 118 | 1,450 | 218 | 725 | Extension for response within fourth month | |
| 128 | 1,970 | 228 | 985 | Extension for response within fifth month | |
| 119 | 320 | 219 | 160 | Notice of Appeal | |
| 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | |
| 121 | 280 | 221 | 140 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive unavoidably abandoned application | |
| 141 | 1,300 | 241 | 650 | Petition to revive unintentionally abandoned application | |
| 142 | 1,280 | 242 | 640 | Utility issue fee (or reissue) | |
| 143 | 460 | 243 | 230 | Design issue fee | |
| 144 | 620 | 244 | 310 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Petitions related to provisional applications | |
| 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | 80.00 |
| 146 | 750 | 246 | 375 | For filing a submission after final rejection (see 37 CFR 1.129(a)) | |
| 149 | 750 | 249 | 375 | For each additional invention to be examined (see 37 CFR 1.129(a)) | |
| Other fee (specify) _____ | | | | | |
| Other fee (specify) _____ | | | | | |

SUBTOTAL (3) \$ 80.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: Richard Aron Osman

Signature _____

Date September 10, 2003

Reg. Number 36,627

Deposit Account User ID _____

(complete if applicable)